

IUSCCC Protocol Development Levels of Service

Level 1: Basic (retrospective, non-CTO, non therapeutic studies, observational studies)

Allow average 2-6 weeks from initial review to SRC submission

- 1. Protocol Development
 - Protocol Review/Drafts and revisions
 - Consent Draft/Revisions (as required)
 - Draft SRC documents (provide templates if necessary)
 - SRC submission (includes request for exemption for retrospective trials only) and assistance with approval as required
- 2. Amendments- support as requested (no support for non-CTO trials)
- 3. Study Procedure Manual (SPM) or Protocol Supporting Document (i.e. recruitment materials)- no support
- 4. CT.GOV- support on request

<u>Level 2: Moderate (correlative studies, non-drug interventional studies, radiation oncology studies etc.)</u>

Allow average 6-8 weeks from initial review to SRC submission

- 1. Protocol Development
 - Protocol Review/Drafts and revisions
 - Consent Draft Review/Revisions
 - Draft and review SRC documents (provide templates if necessary)
 - SRC submission and assistance with approval
 - Assist with IRB and Post-SRC required modifications to study documents as needed
 - Basic study start up project management services (i.e. coordinating with finance, Biostats, basic science collaborators, study team etc.)
- 2. Amendments-
 - CTO- full support
 - Non-CTO- support if requested/ SRC required Amendments
- 3. Study Procedure Manual (SPM)/ Protocol Supporting Documents
 - CTO- full support
 - Non-CTO- support if requested

4. CT.GOV

- CTO- full ct.gov services (registration, maintaining record, assist in managing results reporting, etc.)
- NON-CTO: support if requested (will not assist with results reporting)
- 5. IND Exempt Initial Submission Support
 - CTO: full support
 - NON-CTO: no IND support

Level 3: High (phase 1, therapeutic trials that require an IND, multicenter studies etc.)

Allow average 8-12 weeks+ from initial review to SRC submission

- 1. Protocol Development
 - Protocol Review/Drafts and revisions
 - Consent Draft Review/Revisions
 - Incorporating IB/PI risks and pharma template language as required
 - Draft and review SRC documents (provide templates if necessary)
 - SRC submission and assistance with full approval
 - Assist with IRB and Post-SRC required modifications to study documents as needed
 - Study start up project management services (i.e. coordinating with finance, Biostats, basic science collaborators, study team, funders, pharma companies, multicenter, IDS, etc.)
 - Collaborate with pharma funders on protocol development approval and incorporating required template language (as required)
 - Attend and assist with required start up meetings including pre-SRC, database and site initiation visit (SIV) meetings
- 2. Amendments- full support including SRC, FDA, and pharma funder submissions as needed
- 3. Study Procedure Manual (SPM)/ Protocol Supporting Documents full support
- 4. CT.GOV
 - NON-CTO: support if requested (will not assist with results reporting)
 - CTO- full ct.gov services (registration, maintaining record, amendment and status changes, assist in managing results reporting, etc.)
- 5. IND
 - NON-CTO: no IND support
 - CTO- full support
 - a. IND services include:
 - i. Preparing initial IND application documents for FDA submission
 - Form FDA 1571
 - Introductory statement
 - General investigational plan
 - Manufacturer's package insert/IB; IB creation if IU is manufacturing facility
 - Study protocol
 - Investigator data, facilities data, IRB data (FDA 1572).
 - Chemistry, manufacturing, and control data; collaborate with IU staff if manufacturing at IU (i.e. Brown Center)
 - Pharmacology and toxicology data
 - Previous human experience
 - Letters authorizing cross-reference (from pharma funder) and/or Drug Master Files (only apply if manufacturing at IU)

- Certificate of compliance with clinicaltrials.gov (FDA form 3674)
- Curricula vitae of the sponsor-investigator and clinical sub investigators and necessary staff (i.e. CRNs, MDs, PAs, NPs, and CRPS)
- ii. Assisting with FDA clinical deficiencies, comments and revisions required for FDA study may proceed letter
- iii. Maintenance of IND including
 - Submission of IND Annual Reports within +/- 60-day window of "approval" date
 - Submission of qualifying Amendments (PI change, major amendments, request for FDA correspondence etc.) within 30 days of IRB approval
 - Submission of new protocols under existing INDs
- iv. Submission of Serious Adverse events that meet IND Safety Reporting Requirements within either the 7 or 14 calendars from event
- v. Submission of IND withdraws at time of study termination, or as otherwise requested